

**ANNEXURES TO THE BOARD'S REPORT**

**ANNEXURE - I**

**Form No. MGT-9**

**EXTRACT OF ANNUAL RETURN**

The Companies Act (2013)

Rule 12 (1) of the companies (Management and Administration)Rules,2014

( See Section 92 (3))

**I Registration Details and other details :**

i) CIN 

U	2	8	9	2	0	M	H	1	9	9	3	P	T	C	0	7	4	8	2	0
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ii) Registration Date 

0	1
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1	1
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9	3
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Day Month Year

iii) Company Name 

O	E	R	L	I	K	O	N		B	A	L	Z	E	R	S							
C	O	A	T	I	N	G			I	N	D	I	A		P	R		I	V	A	T	E
L	I	M	I	T	E	D																

iv) Category /sub category 

N	O	N		G	O	V	E	R	N	M	E	N	T		C	O	M	P	A	N		
Y																						

v) Address of the Register office and contact details 

E	L		2	2	J		B	L	O	C	K		M	I		D	C					
B	H	O	S	A	R	I		P	U	N	E		4		1	1	0	2	6			
0	2	0		3	0	6	1	6	0	0	0											

vi) Whether listed company  N  
Y-Yes, N-No

vii) Name, Address and Contact details of Registrar and Transfer Agent, if any 

N	A																						

**II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

S.NO	Name and description of main products/services	NIC code of the product service	% to total turnover of the company
1	Titanium Nitride and wear resistant coatings on Tools and wear parts	81089090	96%
2	Roller Pins	73199000	4%

**III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -**

S.NO	Name and address of the company	CIN/GLN	Holding /subsidiary/ Associate	% of share held	Applicable Section
1	Oerlikon Friction Systems India Limited Shed no. 50., SIDCO Industrial Estate, Chennai - 600124	U65923TN1994FLCO70723	Subsidiary	99.99%	2(87)(ii)
2	OC Oerlikon Corporation AG, Switzerland	CH-020.3.919.027-3	Holding	78.40%	2(46)

**IV. SHARE HOLDING PATTERN (Equity share capital breakup as percentage of total Equity)**

**i) Category-wise Share Holding**

category of shareholder	No. of shares Held at the beginning of the year				No. of share held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total	Demat	Physical	Total	% of total	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
a) Individual/Huf									
b) central Govt.									
c) state Govt (S)									
d) Bodies Corp.									
e) Banks/ FI									
f) Any Other									
<b>Sub - Total (A) (1) :-</b>	-	-	-	-	-	-	-	-	-
<b>(2) Foreign</b>									
A) NRI's Individuals									
B) Other- Individua									
C) Bodies Corp.	-	7,000,000	7,000,000	100%	-	7,000,000	7,000,000	100%	-
D) Bank / FI									
E) Any Other									
<b>Sub - Total (A) (2):-</b>									
<b>Total Shareholding of Promoter (A) = (A)</b>									
<b>B. Public Share holding</b>									
<b>1. Institution</b>									
A) Mutual Funds									
B) Bank / FI									
C) Central Govt.									
D) state Govt(s)									
E) Venture Capital Funds									
F) Insurance Companies									
G) FII's									
H) Foreign venture capital Fund									
I) Other ( Specify)									
<b>SubTotal (B) (1)</b>	-	-	-	-	-	-	-	-	-

<b>2. Non-Institution</b>									
A) Bodies Corp. i) indian ii) Overseas									
B) Individuals i) Individuals shareholders holding nominal share capital RS. 1 ii) Individual shareholders holding Nominal Share Capital Excess of RS. 1 Lakh									
c) Other (Specify)									
<b>Subtotal (B) (2)</b> Total public Shareholding (B)= (B) (1)									
<b>C.Shares held by custodian for GDRs &amp;</b>									-
<b>Grand Total (A+B+C)</b>	-	7,000,000	7,000,000	100%	-	7,000,000	7,000,000	100%	

(ii) Shareholding of Promoters

S.NO	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			%Change in shareholding during the year
		No. of shares	% of total Shares Of the company	% of shares pledged/ Encumbered to total	No. of shares	% of total Shares Of the company	% of shares pledged/ Encumbered to total shares	
1	OC Oerlikon Corporation AG;	5,488,180	78.4	-	5,488,180	78.4	-	-
2	Oerlikon Surface Solutions AG,	1,511,820	21.6	-	1,511,820	21.6	-	-
3	TOTAL	7,000,000	7000000	-	7,000,000	100	-	-

(iii).Change in Promoters' ShareHolding ( please specify, if there is no change)

S.NO		Shareholding at the beginning of the year		Cumulative Shareholding During the Year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the
	At the beginning of the year				
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity At the End of the year				

**(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

S.NO		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the	No. of shares	% of total shares of the company
	<b>For Each of the Top 10 Shareholders</b>				
	At the beginning of the year				
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity				
	At the End of the year ( or on the date of separation, if separated during the year)				

**(v) Shareholding of Directors and Key Managerial Personnel:**

S.NO		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the	No. of shares	% of total shares of the company
	<b>For Each of the Directors and KMP</b>				
	At the beginning of the year				
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):				
	At the End of the year				

**Indebtedness of the Company including interest outstanding/accrued but not due for payment**

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>	13,812,026			13,812,026
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
<b>Total (i+ii+iii)</b>	13,812,026	-	-	13,812,026
<b>Change in Indebtedness during the financial year</b>				-
1) Addition	(4,789,527)			(4,789,527)
2) Reduction				
<b>Net Change</b>	4,789,527	-	-	4,789,527
<b>Indebtedness at the end of the financial year</b>	9,022,499			9,022,499
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
<b>Total (i+ii+iii)</b>	9,022,499	-	-	9,022,499

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

S.NO	Particulars of Remuneration	Name of MD/WTD/Manager	Total Amount
1	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	PRAVIN SHIRSE, MANAGING DIRECTOR	11,190,000
2	Stock Option		
3	Sweat Equity		
4	Commission - as % of profit - others, specify...		
5	Others, please specify		
	Total (A)		11,190,000
	Ceiling as per the Act		

**B. Remuneration to other directors:**

S.NO	Particulars of Remuneration	Name of Directors	Total Amount
	3. Independent Directors 1) Fee for attending board / committee meeting 2) Commission 3) Others, please specify		
	Total (1)		
	4. Other Non-Executive Directors 1) Fee for attending board / committee meeting 2) Commission 3) Others, please - Remuneration	Cdr. Anand Badve, Director  Mr. Vivek Pandit, Alternate Director  Mr. Anand Golikere, Alternate Director	10,000  6,680,000  6,100,000
	Total (2)		12,790,000
	Total (B)=(1+2)		12,790,000
	Total Managerial Remuneration		23,980,000

**C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD:**

S.NO	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	Total
	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	NOT APPLICABLE			
	Stock Option				
	Sweat Equity				
	Commission - as % of profit - others, specify...				
	Others, please specify				
	Total				

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give
<b>A. COMPANY</b>					
Penalty					
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty					
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment					
Compounding					

For and on behalf of the Board of Directors  
of Oerlikon Balzers Coating India Private Limited

  
**PRAVIN SHIRSE**  
 MANAGING DIRECTOR  
 DIN: 05237541

  
**VIVEK PANDIT**  
 ADDITIONAL DIRECTOR  
 DIN: 03170050

Date: 23.08.2019  
Place: Pune