

**Form No. MGT-7**  
**Details Required for Filing Annual Return**

|                                      |  |
|--------------------------------------|--|
| <b>I Name of the Company</b>         | OERLIKON BALZERS COATING INDIA PRIVATE LIMITED |
| <b>Contact Number of the company</b> | 020-30616000                                   |
| <b>e-mail ID of the company</b>      | swati.bhave@oerlikon.com                       |
| <b>Website Address, if any</b>       | www.oerlikon.com                               |
| <b>PAN No</b>                        | AAACI3916N                                     |
| <b>Date of AGM</b>                   | 27.09.2018                                     |

**II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

| S.NO | Main Activity Group Code | Description of main activity Group code | Business Activity code of the product service | Description of Business activity   | % to total turnover of the company |
|------|--------------------------|---|---|--|------------------------------------|
| 1    | C                        | MANUFACTURING                           | C6  | Chemical and chemical products, pharmaceuticals, medicinal chemical and botanical products | 96.00%                             |
| 2    | C                        | MANUFACTURING                           | C7  | Metal and metal products   | 4%                                 |

**III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -**

| S. No | Name and address of the company         | CIN/FCRN              | Holding /subsidiary/Associate | % of share held |
|-------|---|-----------------------|-------------------------------|-----------------|
| 1     | OC Oerlikon Corporation AG, Switzerland | CH-020.3.919.027-3    | Holding Company               | 78.40%          |
| 2     | Oerlikon Friction Systems India Limited | U65923TN1994FLC070723 | Subsidiary company            | 99.99%          |

**IV DETAILS OF SHARES / DEBENTURES TRANSFERRED SINCE CLOSURE DATE OF LAST FINANCIAL YEAR: NA**

| Date of Registration of Transfer of Shares | Type of Transfer | No of Shares transferred | Ledger Folio of Transferor | Full name of transferor | Ledger Folio of Transferee | Full name of Transferee |
|--|------------------|--------------------------|----------------------------|-------------------------|----------------------------|-------------------------|
|  |                  |                          |                            |                         |                            |                         |
|  |                  |                          |                            |                         |                            |                         |



**V INDEBTEDNESS**

Indebtedness of the Company including Debentures outstanding as of the end of Financial Year

| Particulars                       | No of Units | Nominal value Per Unit | Total Value<br>(in Million Rs.) |
|-----------------------------------|-------------|------------------------|---------------------------------|
| Non Convertible Debentures        |             |                        | 0                               |
| Partly Convertible Debentures     |             |                        | 0                               |
| Secured Loans excluding deposits  |             |                        | 13.81                           |
| Unsecured Loan excluding deposits |             |                        | 0                               |
| Deposits                          |             |                        | 0                               |
| <b>TOTAL</b>                      |             |                        | <b>13.81</b>                    |

**VI Turnover & Net worth of the Company****(i) Turnover** (Amounts in Million Rs.)

| Realisation of Amount                 | Amount (in<br>Million Rs.) |
|---------------------------------------|----------------------------|
| Sale, Supply or Distribution of Goods | 50.89                      |
| Add;- Supply of Services              | 2472.24                    |
| <b>Total</b>                          | <b>2566.71</b>             |

\*Turnover as per Companies Act 2013 means the gross amount of revenue recognised in the profit and loss account from the sale, supply, or distribution of goods or on account of services rendered, or both, by a company during a financial year

**(ii) Net worth** (Amounts in INR)

| Particulars                                       | Amount<br>(in Million Rs.) |
|---|----------------------------|
| Paid Up capital                                   | 70.00                      |
| <b>Add</b> Reserves created out of Profits        | 3595.22                    |
| Securities Premium Account                        | 56.89                      |
| <b>TOTAL (A)</b>                                  | <b>3722.11</b>             |
| <b>Less</b> Aggregate value of accumulated losses | 0.00                       |
| Deferred Expenditure                              | 0.00                       |
| Miscellaneous expenditure not w/off               | 0.00                       |
| <b>TOTAL (B)</b>                                  | <b>0.00</b>                |
| <b>Net worth (A-B)</b>                            | <b>3722.11</b>             |

\*Networth as per Companies Act 2013 means the aggregate value of the paid-up share capital and all reserves created out of the profits, securities premium account and debit or credit balance of profit and loss account, after deducting the aggregate value of the accumulated losses, deferred expenditure and miscellaneous expenditure not written off, as per the audited balance sheet, but does not include reserves created out of revaluation of assets, write back of depreciation and amalgamation;



| VII SHARE HOLDING PATTERN (Equity share capital breakup as percentage of total Equity) |   |          |       |                   |  |          |         |                   |
|--|---|----------|-------|-------------------|--|----------|---------|-------------------|
| i) Category-wise Share Holding   |   |          |       |                   |  |          |         |                   |
| A. Promoters   |   |          |       |                   |  |          |         |                   |
| Category of Shareholder  | INDIAN                                    |          |       |                   | FOREIGN                                  |          |         |                   |
|  | No. of shares Held at the end of the year |          |       |                   | No. of share held at the end of the year |          |         |                   |
|  | Demat                                     | Physical | Total | % of Total shares | Demat                                    | Physical | Total   | % of total shares |
| a) Individual/HUF  | 0   | 0        | 0     | 0                 | 0  | 0        | 0       | 0                 |
| b) Central Govt.   | 0   | 0        | 0     | 0                 | 0  | 0        | 0       | 0                 |
| c) State Govt (S)  | 0   | 0        | 0     | 0                 |  | 0        | 0       |                   |
| d) Insurance Companies   | 0   | 0        | 0     | 0                 | 0  | 0        | 0       | 0                 |
| e) Banks   | 0   | 0        |       | 0                 | 0  | 0        | 0       | 0                 |
| f) Financial Institution   | 0   | 0        | 0     | 0                 | 0  | 0        | 0       | 0                 |
| g) FII (Foreign Institutional Investors) Any Other                                     | 0   | 0        | 0     | 0                 | 0  | 0        | 0       | 0                 |
| h) Mutual Funds  | 0   | 0        | 0     | 0                 | 0  | 0        | 0       | 0                 |
| i) Venture Capital Funds   | 0   | 0        | 0     | 0                 | 0  | 0        | 0       | 0                 |
| j) Bodies Corp.  | 0   | 0        | 0     | 0                 | 0  | 7000000  | 7000000 | 100.00%           |
| k) Others: Company   | 0   | 0        | 0     |                   | 0  | 0        | 0       | 0                 |



**B. Public**

| Category of Shareholder                            | INDIAN                                    |          |       |                   | FOREIGN                                  |          |       |                   |
|--|---|----------|-------|-------------------|--|----------|-------|-------------------|
|  | No. of shares Held at the end of the year |          |       |                   | No. of share held at the end of the year |          |       |                   |
|  | Demat                                     | Physical | Total | % of Total shares | Demat                                    | Physical | Total | % of total shares |
| a) Individual/HUF                                  | 0   | 0        | 0     | 0.00              | 0  | 0        | 0     | 0                 |
| b) Central Govt.                                   | 0   | 0        | 0     | 0                 | 0  | 0        | 0     | 0                 |
| c) State Govt (S)                                  | 0   | 0        | 0     | 0                 | 0  | 0        | 0     | 0                 |
| d) Insurance Companies                             | 0   | 0        | 0     | 0                 | 0  | 0        | 0     | 0                 |
| e) Banks   | 0   | 0        | 0     | 0                 | 0  | 0        | 0     | 0                 |
| f) Financial Institution                           | 0   | 0        | 0     | 0                 | 0  | 0        | 0     | 0                 |
| g) FII (Foreign Institutional Investors) Any Other | 0   | 0        | 0     | 0                 | 0  | 0        | 0     | 0                 |
| h) Mutual Funds                                    | 0   | 0        | 0     | 0                 | 0  | 0        | 0     | 0                 |
| i) Venture Capital Funds                           | 0   | 0        | 0     | 0                 | 0  | 0        | 0     | 0                 |
| j) Bodies Corp.                                    | 0   | 0        | 0     | 0                 | 0  | 0        | 0     | 0                 |
| k) Others  | 0   | 0        | 0     | 0                 | 0  | 0        | 0     | 0                 |





**VIII DETAILS OF FOREIGN INSTITUTIONAL INVESTORS HOLDING SHARES OF THE COMPANY: NA**

| Name of foreign Institutional Investor | Address | Date of Incorporation | Country of Incorporation | No. of Shares Held | Percentage of Shares Held |
|--|---------|-----------------------|--------------------------|--------------------|---------------------------|
|  |         |                       |                          |                    |                           |
|  |         |                       |                          |                    |                           |

**IX NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**

| S. No | Details                        | As at the beginning of the year | Additions during the Year | Cessation during the year | As at the end of the Year |
|-------|--------------------------------|---------------------------------|---------------------------|---------------------------|---------------------------|
| 1     | PROMOTER                       | 7                               | 0                         | 0                         | 7                         |
| 2     | MEMBERS (OTHER THAN PROMOTERS) | 0                               | 0                         | 0                         | 0                         |
| 3     | DEBENTURE-HOLDERS              | 0                               | 0                         | 0                         | 0                         |

As per section 2(69) of companies act "promoter" means a person—

(a) who has been named as such in a prospectus or is identified by the company in the annual return; or  
 (b) who has control over the affairs of the company, directly or indirectly whether as a shareholder, director or otherwise; or

(c) in accordance with whose advice, directions or instructions the Board of Directors of the company is accustomed to act:

Provided that nothing in sub-clause (c) shall apply to a person who is acting merely in a professional capacity;



**X. Remuneration of Directors/Key Managerial Personal****A. Remuneration to Managing Director, Whole-time Directors and/or Manager: (Amount in Million Rs.)**

| S.No | Particulars of Remuneration  | PRAVIN SHIRSE<br>(Managing Director) | Total |
|------|--|--------------------------------------|-------|
|      | Name of MD/ WTD/ Manager/ CEO / CFO (As the case may be)   |                                      |       |
| 1    | Gross salary<br>(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961<br>(b) Value of perquisites u/s 17(2) Income-tax Act, 1961<br>(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961 | 9.19                                 | 9.19  |
| 2    | Stock Option   | 0                                    | 0     |
| 3    | Sweat Equity   | 0                                    | 0     |
| 4    | Commission<br>- as % of profit<br>- others, specify...   | 0                                    | 0     |
| 5    | Others, please specify<br>(Fees for attending Meetings, etc.)  | 0                                    | 0     |

**B. Remuneration to other directors: (Amount in Million Rs.)**

| S.No | Particulars of Remuneration  | VIVEK PANDIT | ANAND BADVE | HORST UWE BOHLKE | MARTIN OSTERMANN | Total Amount |
|------|--|--------------|-------------|------------------|------------------|--------------|
|      | Name of Other Directors  |              |             |                  |                  |              |
| 1    | Gross salary<br>(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961<br>(b) Value of perquisites u/s 17(2) Income-tax Act, 1961<br>(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961 | 4.11         | -           | -                | -                | 4.11         |
| 2    | Stock Option   | -            | -           | -                | -                | -            |
| 3    | Sweat Equity   | -            | -           | -                | -                | -            |
| 4    | Commission<br>- as % of profit<br>- others, specify...   | -            | -           | -                | -                | -            |
| 5    | Others, please specify - Director's sitting fees   | -            | 0.01        | -                | -                | 0.01         |



**C. Remuneration to KMP: NA**

| S.No | Particulars of Remuneration  | CFO            | Company Secretary | Total |
|------|--|----------------|-------------------|-------|
|      | <b>Name of KMP</b>   |                |                   |       |
| 1    | Gross salary<br>(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961<br>(b) Value of perquisites u/s 17(2) Income-tax Act, 1961<br>(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961 | Not Applicable |                   |       |
| 2    | Stock Option   |                |                   |       |
| 3    | Sweat Equity   |                |                   |       |
| 4    | Commission<br>- as % of profit<br>- others, specify...   |                |                   |       |
| 5    | Others, please specify   |                |                   |       |



**OERLIKON FRICTION SYSTEMS (INDIA) LIMITED**  
**List of Shareholders As On the date of AGM**

| XI Details of shares / Debentures held at date of AGM |   |             |   |
|---|---|-------------|---|
| Ledger Folio of Share /debenture Holder               | <input type="text" value="9"/>                                | Address     | C H U R E R S T R A S S E 1 2 0 .         |
| Share /debenture holder's Name                        | O C O E R L I K O N C O R P O R A T I O N A G                 |             | P . O . B O X 8 8 0 8                     |
| Father' / Husbands Name                               |   | Town / City | P F A F F I K O N                         |
| Type of Shares/ debentures                            | 1 1 - Equity 2 - Preference Shares<br>3 - Debenture 4 - Stock | District    |   |
| Number of Shares / debentures held / stock, if any    | 5 4 8 8 1 7 5   | State       | S W I T Z E R L A N D                     |
|   | Amount per Share in (Rs.)                                     | Pin Code    |   |
|   | <input type="text" value="1 0"/>                              |             |   |
| Ledger Folio of Share /debenture Holder               | <input type="text" value="1 1"/>                              | Address     | 9 4 R A K S H A K S O C I E T Y           |
| Share /debenture holder's Name                        | B A D V E V I T H A L A N A N D                               |             | P I M P L E N I L A K H A U N D H         |
| Father' / Husbands Name                               | V I T H A L B A D V E   | Town / City | P U N E                                   |
| Type of Shares/ debentures                            | 1 1 - Equity 2 - Preference Shares<br>3 - Debenture 4 - Stock | District    | P U N E                                   |
|   | <b>NOMINEE SHAREHOLDER OF OC OERLIKON CORPORATION AG</b>      | State       | M A H A R A S H T R A                     |
| Number of Shares / debentures held / stock, if any    | <input type="text" value="0 1"/>                              | Pin Code    | 4 1 1 0 2 7                               |
|   | Amount per Share in (Rs.)                                     |             |   |
|   | <input type="text" value="1 0"/>                              |             |   |
| Ledger Folio of Share /debenture Holder               | <input type="text" value="1 2"/>                              | Address     | H N O 6 7 4 K O K A N E N A G A R         |
| Share /debenture holder's Name                        | S H I R S E A B A S A H E B P R A V I N                       |             | N E A R V I T T H A L M A N D I R         |
| Father' / Husbands Name                               | A B A S A H E B S H I R S E                                   | Town / City | P U N E                                   |
| Type of Shares/ debentures                            | 1 1 - Equity 2 - Preference Shares<br>3 - Debenture 4 - Stock | District    | P U N E                                   |
|   | <b>NOMINEE SHAREHOLDER OF OC OERLIKON CORPORATION AG</b>      | State       | M A H A R A S H T R A                     |
| Number of Shares / debentures held / stock, if any    | <input type="text" value="0 1"/>                              | Pin Code    | 4 1 1 0 1 7                               |
|   | Amount per Share in (Rs.)                                     |             |   |
|   | <input type="text" value="1 0"/>                              |             |   |
| Ledger Folio of Share /debenture Holder               | <input type="text" value="1 4"/>                              | Address     | O F F I C E N O 2 F I R S T F L O O R .   |
| Share /debenture holder's Name                        | K A S O D E K A R B A L K R I S H N A M I L I N D             |             | D N Y A N A S U D H A A P A R T M E N T S |
| Father' / Husbands Name                               | B A L K R I S H N A K A S O D E K A R                         | Town / City | 7 7 V I J A Y N A G A R C O L O N Y       |
| Type of Shares/ debentures                            | 1 1 - Equity 2 - Preference Shares<br>3 - Debenture 4 - Stock | District    | P U N E                                   |
|   | <b>NOMINEE SHAREHOLDER OF OC OERLIKON CORPORATION AG</b>      | State       | M A H A R A S H T R A                     |
| Number of Shares / debentures held / stock, if any    | <input type="text" value="0 1"/>                              | Pin Code    | 4 1 1 0 3 0                               |
|   | Amount per Share in (Rs.)                                     |             |   |
|   | <input type="text" value="1 0"/>                              |             |   |







|  |   |             |                                       |
|--|---|-------------|---------------------------------------|
| Ledger Folio of Share /debenture Holder            | <input type="text" value="15"/>   | Address     | H A U P T S T R A S S E               |
| Share /debenture holder's Name                     | O E R L I K O N S U R F A C E S O L U T I O N<br>A G T R U B B A C H                            |             | 9 4 7 7                               |
| Father / Husbands Name                             | Surname Middle Name First Name  | Town / City | T R U B B A C H                       |
| Type of Shares / debentures                        | <input checked="" type="checkbox"/> 1 - Equity 2 - Preference Shares<br>3 - Debenture 4 - Stock | District    | T R U B B A C H                       |
|  | <b>NOMINEE SHAREHOLDER OF OC OERLIKON CORPORATION AG</b>  | State       | S W I T Z E R L A N D                 |
| Number of Shares / debentures held / stock, if any | <input type="text" value="1511820"/>  | Pin Code    |                                       |
| Amount per Share in (Rs.)                          | <input type="text" value="10"/>   |             |                                       |
| Ledger Folio of Share /debenture Holder            | <input type="text" value="16"/>   | Address     | B R A D B O U R N E                   |
| Share /debenture holder's Name                     | O E R L I K O N B A L Z E R S C O A T I N G<br>U K L T D  |             | B R A D B O U R N E D R I V E         |
| Father / Husbands Name                             | Surname Middle Name First Name  | Town / City | T I L B R O O K E                     |
| Type of Shares / debentures                        | <input checked="" type="checkbox"/> 1 - Equity 2 - Preference Shares<br>3 - Debenture 4 - Stock | District    | M I L T O N K E Y N E S               |
|  | <b>NOMINEE SHAREHOLDER OF OC OERLIKON CORPORATION AG</b>  | State       | U N I T E D K I N G D O M             |
| Number of Shares / debentures held / stock, if any | <input type="text" value="01"/>   | Pin Code    |                                       |
| Amount per Share in (Rs.)                          | <input type="text" value="10"/>   |             |                                       |
| Ledger Folio of Share /debenture Holder            | <input type="text" value="17"/>   | Address     | B P 1 5 0 E V I E N S T R A S S E 3 1 |
| Share /debenture holder's Name                     | O E R L I K O N B A L Z E R S C O A T I N G S A<br>U K L T D                                    |             | C H 2 5 5 5 5 B R U G G / B E         |
| Father / Husbands Name                             | Surname Middle Name First Name  | Town / City |                                       |
| Type of Shares / debentures                        | <input checked="" type="checkbox"/> 1 - Equity 2 - Preference Shares<br>3 - Debenture 4 - Stock | District    |                                       |
|  | <b>NOMINEE SHAREHOLDER OF OC OERLIKON CORPORATION AG</b>  | State       | S W I T Z E R L A N D                 |
| Number of Shares / debentures held / stock, if any | <input type="text" value="01"/>   | Pin Code    |                                       |
| Amount per Share in (Rs.)                          | <input type="text" value="10"/>   |             |                                       |

**XI. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

| DETAILS OF PENALITIES & PUNISHMENTS IMPOSED ON COMPANY/ DIRECTORS/ OFFICERS |                                     |               |   |                                |   |
|---|-------------------------------------|---------------|---|--------------------------------|---|
| Name of the Company, Directors, Officers                                    | Name of Court / concerned authority | Date of Order | Name of the Act & Section under which penalised/ Punished | Details of Penalty/ Punishment | Details of Appeal made, if any (including present Status) |
|   |                                     |               |   |                                |   |
|   |                                     |               |   |                                |   |
|   |                                     |               |   |                                |   |

  

| DETAILS OF COMPOUNDING OF OFFENCES       |                                     |               |  |                        |                             |
|--|-------------------------------------|---------------|--|------------------------|-----------------------------|
| Name of the Company, Directors, Officers | Name of Court / concerned authority | Date of Order | Name of the Act & Section under which the offence is committed | Particulars of offence | Amount of Compounding (Rs.) |
|  |                                     |               |  |                        |                             |
|  |                                     |               |  |                        |                             |
|  |                                     |               |  |                        |                             |
|  |                                     |               |  |                        |                             |

Date: 14th September, 2018  
Place : Pune



**PRAVIN SHIRSE**  
MANAGING DIRECTOR  
DIN: 05237541



**VIVEK PANDIT**  
DIRECTOR  
DIN: 03170050

